

10/030678

Rec'd CT/PTO

11 JAN 2002

Docket No. 56761 (71526)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: H. Sugiyama et al.

Serial No.: Not Yet Assigned

Filed: Herewith

For: INTERSTRAND CROSSLINKING AGENTS FOR DNA AND COMPOUNDS  
THEREFOR.Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT**

In accordance with the provisions of 37 C.F.R. §§1.56 and 1.97, Applicants herewith submit the publications and/or patents shown on the attached form PTO-1449, for consideration by the Examiner in connection with the examination of the above-identified patent application.

**REMARKS**

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed:

- X   (1) within three (3) months of the Filing Date or **before the mailing date of the First Office Action** on the merits; or
- (2) within three months of the mailing date of the Written Opposition issued by the: \_\_\_\_\_ Patent Office (dated \_\_\_\_\_); or
- (3) after the period defined in (1) but before the mailing date of a Final Rejection or Notice of Allowance, and the requisite Certification or fee under Rule 1.17(p), namely \$240.00, is included herein; or
- (4) after the mailing date of a Final Rejection or Notice of Allowance but before the payment of the Issue Fee, and the requisite Certification, petition, and petition fee are included herein.

It is respectfully requested that each of the documents shown on the attached form(s) PTO-1449 be made of record in this application. Copies of these documents (CHECK ONE):

Applicants: H. Sugiyama  
Serial No.: Not Yet Assigned  
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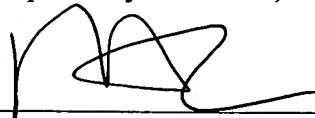
X are enclosed herewith; or  
— have been cited in the parent application, and are thus not being resubmitted herein.

Early examination and allowance of the present application are respectfully solicited.

#### **FEE AUTHORIZATION**

Should any fees associated with the submission be required, the Commissioner is authorized to charge the missing fee to our Deposit Account, No. 04-1105. Any overpayments should be credited to said Deposit Account.

Respectfully submitted,



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Date: January 11, 2002

<b>FORM PTO-1449</b>			DOCKET NO.: 56761 (71526)		SERIAL NO.: Not Yet Assigned		
<b>INFORMATION DISCLOSURE STATEMENT</b>			APPLICANT(S): H. Sugiyama et al.				
			FILING DATE: Herewith		GROUP NO.:		
<b>UNITED STATES PATENT DOCUMENTS</b>							
EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
	AA	EP 1 083 177	03/14/01	Europe			Yes
	AB	2000-511893	09/12/00	Japan			No
	AC	11-500427	01/12/99	Japan			No
	AD	2000-281679	10/10/00	Japan			No
	AE	WO 96/23497	08/08/96	WIPO			Yes
	AF	WO 97/44000	11/27/97	WIPO			Yes
<b>OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)</b>							
Examiner:					Date:		